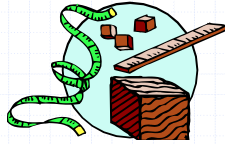


# Approximation Algorithms



# Outline and Reading



- ◆ Approximation Algorithms for NP-Complete Problems (§13.4)
  - Approximation ratios
  - Polynomial-Time Approximation Schemes (§13.4.1)
  - 2-Approximation for Vertex Cover (§13.4.2)
  - 2-Approximation for TSP special case (§13.4.3)
  - Log n-Approximation for Set Cover (§13.4.4)

# Approximation Ratios



## Optimization Problems

- We have some problem instance  $x$  that has many feasible "solutions".
- We are trying to minimize (or maximize) some cost function  $c(S)$  for a "solution"  $S$  to  $x$ . For example,
  - Finding a minimum spanning tree of a graph
  - Finding a smallest vertex cover of a graph
  - Finding a smallest traveling salesperson tour in a graph

## An approximation produces a solution $T$

- $T$  is a **k-approximation** to the optimal solution  $OPT$  if  $c(T)/c(OPT) \leq k$  (assuming a min. prob.; a maximization approximation would be the reverse)

# Polynomial-Time Approximation Schemes

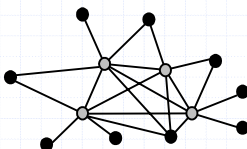


- ◆ A problem  $L$  has a **polynomial-time approximation scheme (PTAS)** if it has a polynomial-time  $(1+\epsilon)$ -approximation algorithm, for any fixed  $\epsilon > 0$  (this value can appear in the running time).
- ◆ 0/1 Knapsack has a PTAS, with a running time that is  $O(n^3/\epsilon)$ . Please see §13.4.1 in Goodrich-Tamassia for details.

# Vertex Cover



- ◆ A **vertex cover** of graph  $G=(V,E)$  is a subset  $W$  of  $V$ , such that, for every  $(a,b)$  in  $E$ ,  $a$  is in  $W$  or  $b$  is in  $W$ .
- ◆ OPT-VERTEX-COVER: Given a graph  $G$ , find a vertex cover of  $G$  with smallest size.
- ◆ OPT-VERTEX-COVER is NP-hard.



# A 2-Approximation for Vertex Cover



- ◆ Every chosen edge  $e$  has both ends in  $C$
- ◆ But  $e$  must be covered by an optimal cover; hence, one end of  $e$  must be in  $OPT$
- ◆ Thus, there is at most twice as many vertices in  $C$  as in  $OPT$ .
- ◆ That is,  $C$  is a 2-approx. of  $OPT$
- ◆ Running time:  $O(m)$

```

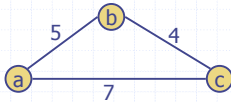
Algorithm VertexCover.Approx(G)
Input graph G
Output a vertex cover C for G
C ← empty set
H ← G
while H has edges
    e ← H.removeEdge(H.anEdge())
    v ← H.origin(e)
    w ← H.destination(e)
    C.add(v)
    C.add(w)
    for each f incident to v or w
        H.removeEdge(f)
return C
    
```

## Special Case of the Traveling Salesperson Problem



◆ **OPT-TSP:** Given a complete, weighted graph, find a cycle of minimum cost that visits each vertex.

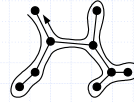
- OPT-TSP is NP-hard
- Special case: edge weights satisfy the triangle inequality (which is common in many applications):
  - $w(a,b) + w(b,c) \geq w(a,c)$



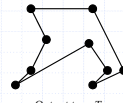
Approximation Algorithms

7

## A 2-Approximation for TSP Special Case



Euler tour  $P$  of MST  $M$



Output tour  $T$

### Algorithm $TSPApprox(G)$

**Input** weighted complete graph  $G$ , satisfying the triangle inequality

**Output** a TSP tour  $T$  for  $G$

$M \leftarrow$  a minimum spanning tree for  $G$

$P \leftarrow$  an Euler traversal of  $M$ , starting at some vertex  $s$

$T \leftarrow$  empty list

**for each** vertex  $v$  in  $P$  (in traversal order)

**if** this is  $v$ 's first appearance in  $P$  then

$T.insertLast(v)$

$T.insertLast(s)$

**return**  $T$

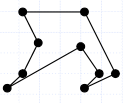
Approximation Algorithms

8

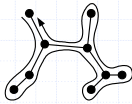
## A 2-Approximation for TSP Special Case - Proof



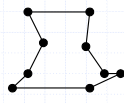
- ◆ The optimal tour is a spanning tour; hence  $|M| \leq |OPT|$ .
- ◆ The Euler tour  $P$  visits each edge of  $M$  twice; hence  $|P| = 2|M|$
- ◆ Each time we shortcut a vertex in the Euler Tour we will not increase the total length, by the triangle inequality ( $w(a,b) + w(b,c) \geq w(a,c)$ ); hence,  $|T| \leq |P|$ .
- ◆ Therefore,  $|T| \leq |P| = 2|M| \leq 2|OPT|$



Output tour  $T$   
(at most the cost of  $P$ )



Euler tour  $P$  of MST  $M$   
(twice the cost of  $M$ )



Optimal tour  $OPT$   
(at least the cost of MST  $M$ )

Approximation Algorithms

9

## Set Cover



- ◆ **OPT-SET-COVER:** Given a collection of  $m$  sets, find the smallest number of them whose union is the same as the whole collection of  $m$  sets?

- OPT-SET-COVER is NP-hard

- ◆ Greedy approach produces an  $O(\log n)$ -approximation algorithm. See §13.4.4 for details.

### Algorithm $SetCover.Approx(G)$

**Input** a collection of sets  $S_1, \dots, S_m$

**Output** a subcollection  $C$  with same union

$F \leftarrow \{S_1, S_2, \dots, S_m\}$

$C \leftarrow$  empty set

$U \leftarrow$  union of  $S_1, \dots, S_m$

**while**  $U$  is not empty

$S_i \leftarrow$  set in  $F$  with most elements in  $U$

$F.remove(S_i)$

$C.add(S_i)$

Remove all elements in  $S_i$  from  $U$

**return**  $C$

Approximation Algorithms

10